



High Performance New Construction Program Applicable Rules and Requirements Guideline

Eligibility, applicable program rules and incentives are based on when a participant applied for their building permit, when the permit is issued and what building code compliance path the project is pursuing. The following charts provide scenarios based on these aspects with a description of the corresponding HPNC Application Requirements.

Prescriptive and Engineered Track Scenarios

#	Scenarios	HPNC Application Requirements
1	A Prescriptive/Engineered project where, the Building Permit Application Date is in 2016 , the Building Permit Issued Date is in 2016 (project complies with SB-10 dated September 14, 2012),” and where a binding commitment [◊] to purchase the energy efficient measures occurred in 2016 or 2017 .	<ul style="list-style-type: none"> Participants must have submitted the Pre-Construction Application and supporting documents to the LDC by December 31st, 2016. Post-construction submission packages: <ul style="list-style-type: none"> - HPNC Rules (Version 2, May 18, 2016) apply. - Previous Worksheets, Participant Agreement and Post-construction Application (Appendix B) or the new Post-Construction Application in the Prescriptive and Engineered Application Workbook may be used. Projects must be completed by December 31, 2020.
2	An Engineered project where, the Building Permit Application Date is in 2016 or later , the Building Permit Issued Date is in 2017 or later , and where a binding commitment [◊] to purchase the energy efficient measures occurred January 1, 2017 or later .	<ul style="list-style-type: none"> Participants must complete the new Prescriptive and Engineered Application Workbook. Applicable Engineered Worksheets will be based on the Building Code compliance path: <ul style="list-style-type: none"> - For projects complying with SB-10 prior to January 1, 2017 (dated September 14, 2012), the previous Engineered Worksheets based on ASHRAE 90.1 2010 should be used. - For projects complying with SB-10 in effect as of January 1, 2017, the new Engineered Worksheets based on ASHRAE 90.1 2013 should be used. New HPNC Rules (Version 3, September 1, 2017) apply. Projects must be completed by December 31st, 2020.
3	A Prescriptive project where, the Building Permit Application Date is in 2016 or later , the Building Permit Issued Date is in 2017 or later , and where a binding commitment [◊] to purchase the energy efficient measures occurred January 1, 2017 or later .	<ul style="list-style-type: none"> Participants must complete the new Prescriptive and Engineered Application Workbook. Regardless of the code compliance path, all agriculture projects will use the new Prescriptive Worksheet in effect as of September 1, 2017. New HPNC Rules (Version 3, September 1, 2017) apply. Projects must be completed by December 31st, 2020.

◊ For Prescriptive and Engineered Projects, where a Participant has entered into a binding commitment to acquire such Measures or services required to install the Measures prior to applying; the LDC may waive this eligibility requirement where the Participant demonstrates that it intended to apply to the High Performance New Construction Program, as determined by the LDC in its sole discretion.

Custom Track Scenarios - Eligibility and Applicable Rules

The 90 day grace period applies to Custom projects where a binding commitment to purchase the energy efficient measures occurred between January 1, 2017 and the effective date of September 1, 2017.

#	Scenarios	HPNC Application Requirements
1	A Custom project where, the Building Permit Application Date is in 2016 , the Building Permit Issued Date is in 2016 (project complies with SB-10 dated September 14, 2012),” and where a binding commitment to purchase the energy efficient measures occurred in 2016 or 2017 .	<ul style="list-style-type: none"> Participants must have submitted the Pre-Construction Application and supporting documents to the LDC by December 31st, 2016. Post-construction submission packages: <ul style="list-style-type: none"> - HPNC Rules (Version 2, May 18, 2016) apply. - Previous Post-construction Application (Appendix B) and Energy & Demand Summary or the new Custom Application Workbook may be used. Projects must be completed by December 31, 2020.

2	<p>A Custom project where, the Building Permit Application Date is in 2016, the Building Permit Issued Date January 1, 2017 or later (project complies with SB-10 dated September 14, 2012), and where a binding commitment* to purchase the energy efficient measures occurred January 1, 2017 or later.</p>	<ul style="list-style-type: none"> • Participants must submit the new Initial Application form by November 30th, 2017. Due to an expected backlog of Custom projects, design teams may submit the Pre-Construction Application and supporting documents within a reasonable timeframe following the Initial Application. • New HPNC Rules (Version 3, September 1, 2017) apply. • Participants must complete the new Custom Application Workbook and select the code compliance path the project is pursuing in the Initial Application form. • Projects must be completed by December 31st, 2020. • Applicable incentive tiers are based on the project's Building Permit Application Date and SB-10 code compliance path. <u>Participant Incentives are:</u> <ul style="list-style-type: none"> - Tier 1: Energy Savings above code ≤25% \$400/kW or \$0.05/kWh - Tier 2: Energy Savings above code >25% and ≤50% \$600/kW or \$0.075kWh - Tier 3: Energy Savings above code >50% \$800/kW or \$0.1/kWh • The Design Decision-Maker incentive tier thresholds are the same as above and the rates for Tiers 1-3 are the same as in the previous version. See Program Rules for details.
3	<p>A Custom project where, the Building Permit Application Date is between January 1, 2017 and December 31, 2017[^], the Building Permit Issued Date January 1, 2017 or later (project complies with SB-10 dated September 14, 2012), and where a binding commitment* to purchase the energy efficient measures occurred January 1, 2017 or later.</p>	<ul style="list-style-type: none"> • For projects with a Building Permit Application Date between January 1, 2017 and up to November 30th, 2017 - the Participant must submit the new Initial Application form by November 30th, 2017. Design teams may submit the Pre-Construction Application and supporting documents within a reasonable timeframe following the Initial Application. • For projects with a Building Permit Application Date of December 1st, 2017 or after – the Participant will submit the Pre-Construction Application and supporting documents within 60 days of the Building Permit Application Date. • New HPNC Rules (Version 3, September 1, 2017) apply. • Participants must complete the new Custom Application Workbook and select the code compliance path the project is pursuing in the Initial Application form. • Projects must be completed by December 31st, 2020. • Applicable incentive tiers are based on the project's Building Permit Application Date and SB-10 code compliance path. <u>Participant Incentives are:</u> <ul style="list-style-type: none"> - Tier 1: Energy Savings above code ≤25% \$400/kW or \$0.05/kWh - Tier 2: Energy Savings above code >25% and ≤50% \$600/kW or \$0.075kWh - Tier 3: Energy Savings above code >50% \$800/kW or \$0.1/kWh • The Design Decision-Maker incentive tier thresholds are the same as above and the rates for tier 1-3 are the same as in the previous version. See Program Rules for details.
4	<p>A Custom project where, the Building Permit Application Date is in 2017 or later, the Building Permit Issued Date is in 2017 or later (project complies with SB-10 in effect January 1, 2017), and where a binding commitment* to purchase the energy efficient measures occurred January 1, 2017 or later.</p>	<ul style="list-style-type: none"> • For projects with a Building Permit Application Date between January 1, 2017 and up to November 30th, 2017 - the Participant must submit the new Initial Application form by November 30th, 2017. Design teams may submit the Pre-Construction Application and supporting documents within a reasonable timeframe following the Initial Application. • For projects with a Building Permit Application Date of December 1st, 2017 or after – the Participant will submit the Pre-Construction Application and supporting documents within 60 days of the Building Permit Application Date. • New HPNC Rules (Version 3, September 1, 2017) apply. • Participants must complete the new Custom Application Workbook and select the code compliance

		<p>path the project is pursuing in the Initial Application form.</p> <ul style="list-style-type: none"> • Projects must be completed by December 31st, 2020. • Applicable incentive tiers are based on the project's Building Permit Application Date and SB-10 code compliance path. <p><u>Participant Incentives are:</u></p> <ul style="list-style-type: none"> - Tier 1: Energy Savings above code $\leq 10\%$ \$400/kW or \$0.05/kWh - Tier 2: Energy Savings above code $>10\%$ and $\leq 25\%$ \$600/kW or \$0.075kWh - Tier 3: Energy Savings above code $>25\%$ \$800/kW or \$0.1/kWh <ul style="list-style-type: none"> • The Design Decision-Maker incentive tier thresholds are the same as above and the rates for tier 1-3 are the same as in the previous version. See Program Rules for details.
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*Where a Participant has entered into a binding commitment to purchase the energy efficient measures or services prior to applying to the HPNC Program, the LDC may waive this eligibility requirement where the Participant demonstrates that it intended to apply to the LDC between January 1, 2017 and November 30th, 2017. This could be in any form as determined by the LDC in its sole discretion such as an email or submission of the previous pre-construction application forms (Appendix A).

^ Based on the Ministry of Municipal Affairs 'transition period' allowing buildings applying for permit between January 1, 2017 and December 31, 2017 to comply with Division 3 and Division 5 of SB-10 as read, dated September 14, 2012.